

114

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. 10/014,310
Filing Date December 11, 2001
Inventor Ritesh Shah et al
Assignee Honeywell International Inc.
Group Art Unit -1753
Examiner H. Wilkins
Attorney's Docket No. 32120-CON1
Title: Methods of Forming Metal Articles

Assistant Commissioner for Patents
Art Unit 1753
Washington, D.C. 20231

SUBMISSION OF ADDITIONAL CLAIM FEES
(37 C.F.R. Section 1.16(b), (c), and (d) (presentation of extra claims))

1. Additional Fees

Applicants submit herewith a check in the amount of \$396.00 that represent additional fees for claims filed in a Third Supplemental Amendment dated 02/06/2003. A fee transmittal form PTO/SB/17 is included with total claims and claims previously paid.

The Commissioner is hereby authorized to charge any additional fees required under 37 C.F.R. Section 1.16 and Section 1.17 and credit any overpayments to account no. 23-0925.

Date: 06 Mar 2003



Signature of Practitioner

James E. Lake
Reg. No.: 44,854
Customer No.: 021567

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. section 1.8(a))

08/21/2003 REGISTRATION 00000006 10014310

I hereby certify that this correspondence is, on the date shown below, being:

01 FC:1201
02 FC:1202

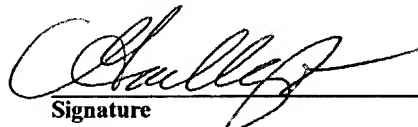
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FACSIMILE

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

☐ transmitted by facsimile to the Patent and Trademark Office.

Date: March 4, 2003



Signature
Gail Wright
(type or print name of person certifying)

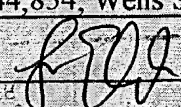
Please type a plus sign (+) inside this box → ☐

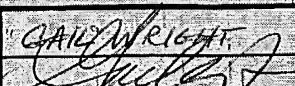
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<h1>TRANSMITTAL FORM</h1> <p>(to be used for all correspondence after initial filing)</p>	Application Number	10/014,310
	Filing Date	December 11, 2001
	First Named Inventor	Ritesh P. Shah
	Group Art Unit	1753
	Examiner Name	H. Wilkins
Total Number of Pages in This Submission		Attorney Docket Number 32120-CON1

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): PTO return postcard (2) A check for \$396.00 Submission of Additional Claim Fees.
Remarks: Customer No. 021567 The Commissioner is hereby authorized to charge any additional fees required under 37 CFR Sections 1.16 and 1.17 and credit any overpayments to: 23-0925.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	James E. Lake Reg. No. 44,854, Wells St. John P.S.
Signature	
Date	05 Mar 2003

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 3/6/2003	
Typed or printed name	GAIL WRIGHT
Signature	
Date	March 6, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.
Small Entity payments *must* be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB-09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$)**396.00**

Complete if Known

Application Number **10/014,310**
Filing Date **December 11, 2001**
First Named Inventor **Ritesh Shah**
Examiner Name **H. Wilkins**
Group / Art Unit **1753**
Attorney Docket No. **32120-CON1**

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **23-0925**

Deposit Account Name **Wells St. John P.S.**

☒ Charge Any Additional Fee Required
Under 37 CFR §§ 1.16 and 1.17

2. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	690	201	345	Utility filing fee	0.00
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	690	208	345	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$)**0.00**

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
44	20** = 8	18	144
18	3** = 3	84	252
Multiple Dependent			0

**or number previously paid, if greater. For Reissues, see below

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim, if not paid
109	78	209	39	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)**396.00**

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	0.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	0.00
139	130	139	130	Non-English specification	0.00
147	2,520	147	2,520	For filing a request for reexamination	0.00
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	0.00
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	0.00
115	110	215	55	Extension for reply within first month	0.00
116	380	216	190	Extension for reply within second month	0.00
117	870	217	435	Extension for reply within third month	0.00
118	1,360	218	680	Extension for reply within fourth month	0.00
128	1,850	228	925	Extension for reply within fifth month	0.00
119	300	219	150	Notice of Appeal	0.00
120	300	220	150	Filing a brief in support of an appeal	0.00
121	260	221	130	Request for oral hearing	0.00
138	1,510	138	1,510	Petition to institute a public use proceeding	0.00
140	110	240	55	Petition to revive - unavoidable	0.00
141	1,210	241	605	Petition to revive - unintentional	0.00
142	1,210	242	605	Utility issue fee (or reissue)	0.00
143	430	243	215	Design issue fee	0.00
144	580	244	290	Plant issue fee	0.00
122	130	122	130	Petitions to the Commissioner	0.00
123	50	123	50	Petitions related to provisional applications	0.00
126	240	126	240	Submission of Information Disclosure Stmt	0.00
581	40	581	40	Recording each patent assignment per property (times number of properties)	0.00
146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))	0.00
149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b))	0.00
Other fee (specify)					0.00
Other fee (specify)					0.00
Reduced by Basic Filing Fee Paid					
SUBTOTAL (3) (\$) 0.00					

SUBMITTED BY

Name (Print/Type) **James E. Lake**

Signature

Registration No. (Attorney/Agent) **44,854**

Complete (if applicable)

Telephone **US-509-624-4276**

Date **06 Mar 2003**

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. 10/014,310
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Assistant Commissioner for Patents
Art Unit 1753
Washington, D.C. 20231

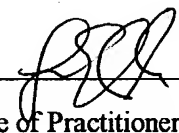
SUBMISSION OF ADDITIONAL CLAIM FEES
(37 C.F.R. section 1.16(b), (c), and (d) (presentation of extra claims))

1. Additional Fees

Applicants submit herewith a check in the amount of \$918.00 that represent additional fees for claims filed in a Second Supplemental Amendment dated 6/24/2002. A fee transmittal form PTO/SB/17 is included with total claims and claims previously paid.

The Commissioner is hereby authorized to charge any additional fees required under 37 C.F.R. Section 1.16 and Section 1.17 and credit any overpayments to account no. 23-0925.

Date: 06 Mar 2003



Signature of Practitioner

James E. Lake
Reg. No.: 44,854
Customer No.: 021567

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. section 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

18/21/2003 REHOLSON 00000007 10014310

11 FC:1202
12 FC:1201

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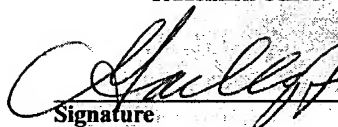
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Date: March 4, 2003

s:\H057\265\M06.wpd

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Signature

Gail Wright
(type or print name of person certifying)

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number

10/014,310

Filing Date

December 11, 2001

First Named Inventor

Ritesh P. Shah

Group Art Unit

1753

Examiner Name

H. Wilkins

Total Number of Pages in This Submission

Attorney Docket Number

32120-CON1

ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☒ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Small Entity Statement

☐ Request for Refund

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Additional Enclosure(s) (please identify below):

PTO return postcard (2)

A check for \$918.00

Submission of Additional Claim Fees.

Remarks

Customer No. 021567

The Commissioner is hereby authorized to charge any additional fees required under 37 CFR Sections 1.16 and 1.17 and credit any overpayments to: 23-0925.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

James E. Lake

Reg. No. 44,854; Wells St. John P.S.

Signature

Date

06 Mar 2003

CERTIFICATE OF MAILING

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Typed or printed name

Signature

Date

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<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2000</h2> <p style="font-size: small; margin: 0;">Patent fees are subject to annual revision. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.</p>		Complete if Known	
		Application Number	10/014,310
		Filing Date	December 11, 2001
		First Named Inventor	Ritesh Shah
		Examiner Name	H. Wilkins
		Group / Art Unit	1753
		Attorney Docket No.	32120-CON1
TOTAL AMOUNT OF PAYMENT		(\$918.00)	

<h3 style="text-align: center; margin: 0;">METHOD OF PAYMENT (check one)</h3> <p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number: 23-0925</p> <p>Deposit Account Name: Wells St. John P.S.</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p> <h3 style="text-align: center; margin: 0;">FEE CALCULATION</h3> <div style="border: 1px solid black; padding: 5px;"> <h4 style="margin: 0;">1. BASIC FILING FEE</h4> <table style="width: 100%; font-size: small;"> <thead> <tr> <th>Large Entity Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Code</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>101</td> <td>690</td> <td>201</td> <td>345</td> <td>Utility filing fee</td> <td>0.00</td> </tr> <tr> <td>106</td> <td>310</td> <td>206</td> <td>155</td> <td>Design filing fee</td> <td></td> </tr> <tr> <td>107</td> <td>480</td> <td>207</td> <td>240</td> <td>Plant filing fee</td> <td></td> </tr> <tr> <td>108</td> <td>690</td> <td>208</td> <td>345</td> <td>Reissue filing fee</td> <td></td> </tr> <tr> <td>114</td> <td>150</td> <td>214</td> <td>75</td> <td>Provisional filing fee</td> <td></td> </tr> <tr> <td colspan="5" style="text-align: right;">SUBTOTAL (1)</td> <td>(\$ 0.00)</td> </tr> </tbody> </table> </div> <div style="border: 1px solid black; padding: 5px; margin-top: 5px;"> <h4 style="margin: 0;">2. EXTRA CLAIM FEES</h4> <table style="width: 100%; font-size: small;"> <thead> <tr> <th colspan="2">Total Claims</th> <th colspan="2">Extra Claims</th> <th colspan="2">Fee from below</th> <th colspan="2">Fee Paid</th> </tr> </thead> <tbody> <tr> <td>36</td> <td>-20**</td> <td>9</td> <td></td> <td>18</td> <td></td> <td>162</td> <td></td> </tr> <tr> <td>15</td> <td>-3**</td> <td>9</td> <td></td> <td>84</td> <td></td> <td>756</td> <td></td> </tr> <tr> <td colspan="2">Multiple Dependent</td> <td></td> <td></td> <td></td> <td></td> <td>10</td> <td></td> </tr> </tbody> </table> <p style="font-size: x-small;">**or number previously paid, if greater. For Reissues, see below</p> <div style="border: 1px solid black; padding: 5px; margin-top: 5px;"> <h4 style="margin: 0;">Large Entity Small Entity</h4> <table style="width: 100%; font-size: small;"> <thead> <tr> <th>Fee Code (\$)</th> <th>Fee Code (\$)</th> <th>Fee Description</th> </tr> </thead> <tbody> <tr> <td>103 18</td> <td>203 9</td> <td>Claims in excess of 20</td> </tr> <tr> <td>102 78</td> <td>202 39</td> <td>Independent claims in excess of 3</td> </tr> <tr> <td>104 260</td> <td>204 130</td> <td>Multiple dependent claim, if not paid</td> </tr> <tr> <td>109 78</td> <td>209 39</td> <td>** Reissue independent claims over original patent</td> </tr> <tr> <td>110 18</td> <td>210 9</td> <td>** Reissue claims in excess of 20 and over original patent</td> </tr> <tr> <td colspan="2" style="text-align: right;">SUBTOTAL (2)</td> <td>(\$ 918.00)</td> </tr> </tbody> </table> </div> </div>	Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid	101	690	201	345	Utility filing fee	0.00	106	310	206	155	Design filing fee		107	480	207	240	Plant filing fee		108	690	208	345	Reissue filing fee		114	150	214	75	Provisional filing fee		SUBTOTAL (1)					(\$ 0.00)	Total Claims		Extra Claims		Fee from below		Fee Paid		36	-20**	9		18		162		15	-3**	9		84		756		Multiple Dependent						10		Fee Code (\$)	Fee Code (\$)	Fee Description	103 18	203 9	Claims in excess of 20	102 78	202 39	Independent claims in excess of 3	104 260	204 130	Multiple dependent claim, if not paid	109 78	209 39	** Reissue independent claims over original patent	110 18	210 9	** Reissue claims in excess of 20 and over original patent	SUBTOTAL (2)		(\$ 918.00)	<h3 style="text-align: center; margin: 0;">FEE CALCULATION (continued)</h3> <div style="border: 1px solid black; padding: 5px;"> <h4 style="margin: 0;">3. 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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	James E. Lake	Registration No. (Attorney/Agent)	44,854
Signature		Telephone	US-509-624-4276
		Date	06 Mar 2003

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